

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
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**UNOFFICIAL DOCUMENT FOR EXAMINER'S REVIEW  
FACSIMILE COVER SHEET**

**Examiner:** Covington, Raymond K. **Group:** 1625

**Date:** September 20, 2005

**Client Code:** 3211

**Facsimile No.:** (571) 273-0681

**From:** Booyong S. Lim, Ph.D.

**Subject:** Copies of Transmitted Amendment and Fee Transmittal  
**Docket No.:** 3211.1004-021  
**Applicants:** Keizo Koya, *et al.*  
**Serial No.:** 10/849,978  
**Filing Date:** May 20, 2004

Number of pages including this cover sheet: 18  
Please confirm receipt of facsimile: Yes X No     

**Comments:**

@PFDesktop\ODMA\MHODMA\HBSR05\Manage\575883.1

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SGD/BSU/jb  
September 20, 2005

PATENT APPLICATION  
DOCKET NO 3211.1004-021

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SEP 20 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Keizo Koya, Lijun Sun, Mitsunori Ono, Weiwen Ying and Hao Li  
Application No.: 10/849,978 Group: 1625  
Filed: May 20, 2004 Examiner: Covington, Raymond K.  
Confirmation No.: 8629  
For: 1-GLYOXYLAMIDE INDOLIZINES FOR TREATING CANCER

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
Date 9-20-05	Signature <i>[Signature]</i>
Typed or printed name of person signing certificate Jeanine Ruby	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

10/849,978

-2-

The claims fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	26	MINUS	* 26	0
INDEP	2	MINUS	** 3	0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

\* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY

	RATE	ADDIT. FEE
X	\$ 25	\$
X	\$ 100	\$
+	\$ 180	\$

TOTAL= \$ 0

OTHER THAN  
SMALL ENTITY

	RATE	ADDIT. FEE
X	\$ 50	\$ 0
X	\$ 200	\$ 0
+	\$ 360	\$ 0

TOTAL= \$ 0

The Application Size Fee has been calculated as shown below:  
(Effective for cases filed on or after December 8, 2004)

Actual Sheets (Including current amendment)	Highest No of Sheets Paid For (At least 100)	No. of Additional Units Required (Increments of 50 sheets)
68	100	0

SMALL ENTITY

Rate	Total Amount Owed
X \$125	\$[0]

OTHER THAN  
SMALL ENTITY

Rate	Total Amount Owed
X \$250	\$[0]

Payment Sufficient for up to
[100] Sheets

Petition for Extension of Time

[ ] Applicant hereby petitions to extend the time to respond to the [ ] dated [ ] for [ ] month(s) from [ ] to [ ]. The appropriate fee is set forth below.

[ ] [For action-specific language in an extension of time, go to insert, file, public folders, firm templates, and select the appropriate paragraph.]

10/849,978

-3-

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$ _____
<input type="checkbox"/>	Claims Fee	\$ _____
<input type="checkbox"/>	Application Size Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
_____		\$ _____
_____		\$ _____
TOTAL:		\$ <u>0</u>


A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$ _____
<input type="checkbox"/>	Claims Fee	\$ _____
<input type="checkbox"/>	Application Size Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
_____		\$ _____
_____		\$ _____
TOTAL:		\$ <u>0</u>

☒ Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
 Steven G. Davis  
 Registration No.: 39,652  
 Telephone (978) 341-0036  
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Concord, Massachusetts 01742-9133

Dated: September 20 2005

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09/20/05

PATENT APPLICATION  
Attorney's Docket No. 3211.1004-021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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<u>9-20-05</u>	<u>[Signature]</u>
Date	Signature
<u>Jeanne Busby</u>	
Typed or printed name of person signing certificate	

AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed in response to the Office Action mailed from the U.S. Patent and Trademark Office on July 28, 2005 in the above-identified application.  
Reconsideration and further examination are requested.

Please amend the application as follows: